

Mangalam Drugs and Organics Ltd.

Regd. Office : Rupam Building, 3rd Floor, 239 P. D'Mello Road, Near G. P. O. Mumbai - 400 001.

☎ 91-22-22616200 / 6300 / 8787 ☎ 91-22-22619090 • CIN : L24230MH1972PLC116413



WHO-GMP
Accredited

REF: MDOL/CS-SE/2020-21/006

June 15, 2020

To,
Listing Department
BSE Ltd
1st Floor, New Trade Wing,
Phiroze Jeejeebhoy Towers,
Dalal Street, Fort,
Mumbai - 400 001

Listing Department
National Stock Exchange of India
Limited
"Exchange Plaza", 5th Floor,
Plot No. C-1, Block G,
Bandra - Kurla Complex,
Bandra(E), Mumbai - 400 051

Scrip Code: 532637

Symbol: MANGALAM

Subject: Annual Secretarial Compliance Report for the Financial Year ended March 31, 2020

Dear Sir/Madam,

In terms of provisions of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 read with SEBI Circular No. CIR/CFD/CMD1/27/2019 dated February 8, 2019, please find enclosed Annual Secretarial Compliance Report for the Financial Year ended March 31, 2020 issued by Mr. Ankit Sethi - Practising Company Secretary & Secretarial Auditor of the Company.

Kindly take the above update on your records.

Thanking You,

Yours Faithfully,
For Mangalam Drugs & Organics Limited

Geeta



Geeta Karira
Company Secretary & Compliance Officer

ANKIT SETHI & ASSOCIATES

D-17, Sangli Cooperative Housing Society, Western Express Highway,
Jogeshwari East, Mumbai – 400 060.

Email: sethi.legal@gmail.com; Mob. 8655682736.

SECRETARIAL COMPLIANCE REPORT OF MANGALAM DRUGS AND ORGANICS LIMITED FOR THE YEAR ENDED MARCH 31, 2020

To,
MANGALAM DRUGS AND ORGANICS LIMITED
L24230MH1972PLC116413
3RD FLOOR, 239, RUPAM BUILDING, P. D'MELLO ROAD
MUMBAI – 400 001 IN

We, Ankit Sethi & Associates, Practicing Company Secretaries, have examined:

- (a) all the documents and records made available to us and explanation provided by MANGALAM DRUGS AND ORGANICS LIMITED (“the listed entity”),
- (b) the filings/ submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity,
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the year ended on March 31, 2020 (“Review Period”) in respect of compliance with the provisions of:

- (a) the Securities and Exchange Board of India Act, 1992 (“SEBI Act”) and the Regulations, circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956 (“SCRA”), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India (“SEBI”);

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include: -

- a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018; (Not Applicable as there were no instance falling under the said regulation during the audit period under review)
- c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; (Not Applicable as there were no instance falling under the said regulation during the audit period under review)
- e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014; (Not Applicable as there were no instance falling under the said regulation during the audit period under review)

- f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; (Not Applicable as there were no instance falling under the said regulation during the audit period under review)
- g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013; (Not Applicable as there were no instance falling under the said regulation during the audit period under review)
- h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015; and circulars/ guidelines issued thereunder; and based on the above examination, we hereby report that, during the Review Period:

- i. The listed entity has generally complied with all the material provisions of the above Regulations and circulars/ guidelines issued thereunder;
- ii. The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder in so far as it appears from our examination of those records;
- iii. As per the undertaking and declaration given by the Management, no action has been taken against the Company / its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder.
- iv. The listed entity has taken the following actions to comply with the observations made in previous reports:

| Sr. No. | Observations of the Practicing Company Secretary in the previous reports | Observations made in the secretarial compliance report for the year ended... <i>(The years are to be mentioned)</i> | Actions taken by the listed entity, if any | Comments of the Practicing Company Secretary on the actions taken by the listed entity |
|---------|--|---|--|---|
| 1. | SEBI for the period 1 st March, 2015 to December, 2015 | Notice under Rule 4 of the SEBI (Procedure for holding Inquiry and Imposing penalties by adjudicating officer), with reference to following violations: - 1) Regulation 13 (6) of SEBI (PIT) Regulations. 2) Section 21 of SCRA read with clause 35 of listing agreement. | Company had effectively replied and attended the the personal hearing w.r.t show cause notice served to the company vide No. SEBI/HO/A&E/EA D/SR/SJ/2534/1/ 2018 and has on receipt of the Adjudication order thereafter, the company had paid fine of Rs. 1,50,000/- vide | The company had received the Adjudication order dated 28 th September, 2018 and has made the payment of fine amounting to Rs. 1,50,000/- vide Demand Draft bearing 183249 dated 9 th October, |

| | | | | |
|--|--|--|--|-------|
| | | | Demand bearing 183249 dated 9 th October, 2018 and company has received order dated 28 th September, 2018. | 2018. |
|--|--|--|--|-------|

**FOR ANKIT SETHI & ASSOCIATES
COMPANY SECRETARIES**



ANKIT SETHI
PRACTICING COMPANY SECRETARY
PROPRIETOR
ACS No.: 25415 C. P. No.: 11089

Place: Mumbai
Date: 02.06.2020
UDIN: A025415B000310854